# Probing protein energy landscapes by quasielastic neutron scattering 

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## Energy landscapes - a qualitative picture of protein structural dynamics




The protein jumps between different minima of the multidimensional (free) energy landscape which correspond to similar "conformational substates" (H. Frauenfelder et al, Science 254, 1598 (1991)).

## The Energy Landscapes and Motions of Proteins

Hans Frauenfelder, Stephen G. Sligar, Peter G. Wolynes

## Conformational substates

## Structure

Energy landscape


Residue

Non-exponential rebinding kinetics of CO

$$
N(t)=\int d H g(H) \exp [-k(H) t]
$$



Fig. 2. Rebinding of CO to Mb after photodissociation, measured separately for the substates of tier 0 at pH 5.7. (A) $N(t)$ is the fraction of proteins that have not rebound a CO at the time $t$ after photodissociation. All three substates ( $A_{0}, A_{1}$, and $A_{3}$ ) rebind nonexponentially in time. (B) The activation enthalpy spectra, defined through Eq. 1 .

## Relating relaxation and energy barriers

The distribution barrier heights corresponds to a distribution of rates for kinetic processes and conformational relaxation.

$$
\lambda=\lambda_{0} e^{-\frac{\Delta E}{k_{B} T}}
$$

Relaxation and time correlation functions have a multi-exponential form:

$$
\psi(t)=\int_{0}^{\infty} d \lambda p(\lambda) \exp (-\lambda t)
$$

For complex systems these functions decay for long times slowly with a power law and exhibit thus self-similarity:

$$
\psi(t) \stackrel{t \rightarrow \infty}{\sim}(t / \tau)^{-\beta}, \quad 0<\beta<1
$$

$$
\psi(\lambda t) \stackrel{t \rightarrow \infty}{=} \lambda^{-\beta} \psi(t)
$$

## Modeling multi-scale reaction kinetics

## A Fractional Calculus Approach to Self-Similar Protein Dynamics

Walter G. Glöckle and Theo F. Nonnenmacher
Department of Mathematical Physics, University of Ulm, D-89069 Ulm, Germany


$$
N(t)=N(0) E_{\beta}\left(\left(-[t / \tau]^{\beta}\right)\right.
$$

Mittag-Leffler function
$E_{\beta}(z)=\sum_{k=0}^{\infty} \frac{z^{k}}{\Gamma(1+\beta k)}$

FIGURE 2 Three-parameter model Eq. 32 for rebinding of CO to Mb after photo dissociation. The parameters are $\tau_{\mathrm{m}}=8.4 \times 10^{-10} \mathrm{~s}, \alpha=3.5 \times$ $10^{-3} K^{-1}$ and $k=130$, the data points are from Austin et al. (1975).


## "Stretched Mittag-Leffler function"



## Relating energy landscapes to neutron scattering

A. Rahman, K. Singwi, and A. Sjölander, Physical Review 126, 986 (1962).
G.R. Kneller, J. Chem. Phys. 145, 044103 (2016).

Neutron scattering measures the dynamic structure factor of a condensed matter system

$$
\begin{aligned}
& S(q, \omega)=\frac{1}{2 \pi} \int_{-\infty}^{+\infty} d t e^{-i \omega t} F(q, t), \\
& F(q, t)=\langle\exp (-i q \hat{x}(0)) \exp (i q \hat{x}(t))\rangle .
\end{aligned}
$$

At moderate momentum transfers, $q$, the neutron intermediate scattering function gives access to the mean square displacement of the diffusing scattering atom

$$
\begin{aligned}
F(q, t) & \approx \exp \left(i \frac{\hbar q^{2} t}{2 m}\right) \exp \left(-q^{2} \mu_{2}(t)\right) \\
\mu_{2}(t) & =\frac{1}{2}\left(1-i \tan \left(\frac{\beta \hbar}{2} \frac{d}{d t}\right)\right) \underbrace{\left\langle(x(t)-x(0))^{2}\right\rangle}_{M S D \equiv W(t)}
\end{aligned}
$$

Motions in proteins are confined in space and the atomic positions can be referred to a well-defined mean positions,

$$
x(t)=u(t)+\langle x\rangle
$$

The MSD for confined motions can be expressed in terms of the displacement autocorrelation function.

$$
\begin{aligned}
W(t) & =\left\langle(u(t)-u(0))^{2}\right\rangle=2\left(c_{u u}(0)-c_{u u}(t)\right) \\
c_{u u}(t) & =\langle u(t) u(0)\rangle
\end{aligned}
$$



The displacement autocorrelation function has a multiexponential decay.

$$
\frac{c_{u u}(t)}{c_{u u}(0)} \equiv \psi(t)=\int_{0}^{\infty} d \lambda\left|\frac{p(\lambda)}{} \exp (-\lambda t)\right| \begin{aligned}
& \text { Distribution of relaxation rates/ } \\
& \text { barrier heights }
\end{aligned}
$$

## Fractional Ornstein-Uhlenbeck process as a model for self-similar atomic dynamics in proteins

Normal OrnsteinUhlenbeck process: Diffusion in a harmonic potential



Fractional OrnsteinUhlenbeck process:
Anomalous Diffusion in a "rugged" harmonic potential

Uhlenbeck, G. E. \& Ornstein, L. S.
Physical Review 36, 823 (1930).
I. Shao, Y. Physica D: Nonlinear Phenomena 83, 46I-477 (1995).
2. Metzler, R. \& Klafter, J. Phys Rep 339, I-77 (2000).

Fractional Fokker-Planck equation for conditional probability

$$
\frac{\partial}{\partial t} p\left(\mathbf{u}, t \mid \mathbf{u}_{0}, 0\right)=\partial_{t}^{1-\beta} \mathcal{L} p\left(\mathbf{u}, t \mid \mathbf{u}_{0}, 0\right), \quad 0<\beta \leq 1
$$

Time evolution operator

$$
\mathcal{L}=D_{\beta} \frac{\partial}{\partial \mathbf{u}} \cdot\left\{\frac{\partial}{\partial \mathbf{u}}+\frac{K \mathbf{u}}{k_{B} T}\right\}
$$

Harmonic potential

$$
V(\mathbf{u})=\frac{K}{2}|\mathbf{u}|^{2}
$$

Fractional time derivative

$$
\partial_{t}^{1-\beta} g(t)=\frac{d}{d t} \int_{0}^{t} d \tau \frac{(t-\tau)^{\beta-1}}{\Gamma(\beta)} g(\tau)
$$

Time series and autocorrelation functions for the fOU process

fOU process, $\tau=0.3, \beta=0.3$
$x(t)$




## Fractional Ornstein-Uhlenbeck process

Position autocorrelation function


$$
\psi(t ; \beta)=E_{\beta}\left(-t^{\beta}\right) \stackrel{t \rightarrow \infty}{\sim} \frac{t^{-\beta}}{\Gamma(1-\beta)}
$$

Relaxation rate spectrum

$$
0.6
$$



Energy barrier distribution

$$
\alpha=0.5 \text { ( }
$$

## Application 1: Lysozyme under pressure by QENS and MD simulations

Neutron scattering
QENS dynamic structure factor


- Calandrini, Kneller, J. Chem. Phys., vol. I28, no. 6, p. 065I02, 2008.
- Calandrini et al,, Chem. Phys., vol. 345, pp. 289-297, 2008.
- Kneller, Calandrini, Biochimica et Biophysica Acta, vol. I804, pp. 56-62, 2010.


## MD simulation

Mean square displacement $\left\langle[x(t)-x(0)]^{2}>\right.$ of the $H$ atoms in lysozyme MD simulation


The form of the energy
landscape does not change under pressure.

## Application 2: Protein dynamics by NMR relaxation

 spectroscopy

Relaxation ${ }^{15} N-{ }^{1} H$


Model pour $\mathrm{c}_{\mathrm{i}, \mathrm{I}}(\mathrm{t})$ :

$$
c_{i i, I}(t)=S_{i i}^{2}+\left(1-S_{i i}^{2}\right) E_{\alpha}\left(-[t / \tau]^{\alpha}\right)
$$

Mittag-Leffler function


- Calandrini, Abergel, Kneller, J. Chem. Phys., vol. I28, p. I45I02, 2008.
- Calandrini, Abergel, Kneller, J. Chem. Phys., vol. I33, p. I45IOI, 2010.


## Limits of fractional Brownian Dynamics models

$$
W(t)=2 \int_{0}^{t} d t^{\prime}\left(t-t^{\prime}\right) c_{v v}\left(t^{\prime}\right)
$$

Velocity autocorrelation function $c_{v v}(t)=\langle\mathbf{v}(t) \cdot \mathbf{v}(0)\rangle$

$$
c_{v v}(t)=\sum_{k=0}^{\infty} c_{v v}^{(2 k)}(0) \frac{t^{2 k}}{(2 k)!} \quad\left(c_{v v}^{(2 k)}(0)=(-1)^{k}\left\langle\mathbf{v}^{(k)}(0) \cdot \mathbf{v}^{(k)}(0)\right\rangle / 3\right) .
$$

$$
W(t) \stackrel{t \rightarrow 0}{\sim}\left\langle\mathbf{v}^{2}\right\rangle t^{2} \quad \text { Ballistic regime }
$$

Self-similarity cannot be true on arbitrarily small time scales, but must be seen as a model which holds asymptotically.

$$
W(t) \stackrel{t \rightarrow \infty}{\sim} 2 D_{\alpha} t^{\alpha}
$$

Problem with the fOU process

- The model correlation functions have the experimentally observed power law decay for long times, but they are unphysical for short times.

$$
\left.\frac{d^{n} c(t)}{d t^{n}}\right|_{t=0}=(-1)^{n} \infty
$$

- Therefore the moments of $p(\lambda)$ diverge.

$$
\overline{\lambda^{k}}=\int_{0}^{\infty} d \lambda \lambda^{k} p(\lambda)=(-1)^{k} \psi^{(k)}(0)
$$

## Diffusion as an asymptotic dynamical regime

## G.R. Kneller, J Chem Phys 134, 224106 (2011).

The laws for (anomalous) diffusion hold in the asymptotic regime

$$
\left\langle(x(t)-x(0))^{2}\right\rangle \stackrel{t \rightarrow \infty}{\sim} 2 L(t) D_{\alpha} t^{\alpha} \quad(0 \leq \alpha<2)
$$

$L(t)$ is a "slowly growing function" with $\lim _{t \rightarrow \infty} L(t)=1$
The diffusive regime is characterized by

$$
t \gg \tau_{v}, \text { where } \quad \tau_{v}=\left(\frac{D_{\alpha}}{\left\langle v^{2}\right\rangle}\right)^{\frac{1}{2-\alpha}} \quad \text { (in the ps regime). }
$$

A generalized Kubo relation expresses the diffusion constant

$$
D_{\alpha}=\frac{1}{\Gamma(1+\alpha)} \int_{0}^{\infty} d t{ }_{0} \partial_{t}^{\alpha-1} c_{v v}(t) .
$$

## MSDs for free (anomalous) diffusion



The asymptotic form for the MSD includes explicitly the case of confined diffusion, $a=0$ :

$$
\left\langle(u(t)-u(0))^{2}\right\rangle \stackrel{t \rightarrow \infty}{\sim} 2 L(t)\left\langle u^{2}\right\rangle
$$



The "diffusion coefficient" is here

$$
D_{0}=\left\langle u^{2}\right\rangle
$$

The characteristic time scales becomes $\tau_{v}=\left(\frac{\left\langle u^{2}\right\rangle}{\left\langle v^{2}\right\rangle}\right)^{\frac{1}{2}}$
The slowly growing functions is related to the decay of the position autocorrelation function for long times

$$
\frac{\langle u(0) u(t)\rangle}{\left\langle u^{2}\right\rangle} \stackrel{t \rightarrow \infty}{\sim} 1-L(t)
$$



## MSDs for confined (anomalous) diffusion



Communication: A minimal model for the diffusion-relaxation backbone dynamics of proteins

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75231 Paris Cedex 05, France


FIG. 1. Four selected residues in the lysozyme molecule.

Normalized position autocorrelation functions

$$
\psi(t ; \beta)=\frac{1}{(1+t / \beta)^{\beta}} \quad(0<\beta<\infty)
$$

- Accommodates exponential and power-law decay

$$
\lim _{\beta \rightarrow \infty} \psi(t ; \beta)=\exp (-t)
$$

- Is analytical everywhere, such that all moments of $p(\lambda)$ exist

$$
\overline{\lambda^{k}}=\int_{0}^{\infty} d \lambda \lambda^{k} p(\lambda)=(-1)^{k} \psi^{(k)}(0)
$$

2nd diffusion model


Helices (black) and betasheets (grey).

Solvent-accessible surfaces.

Mean relaxation rates, $\bar{\lambda}$, and corresponding spreads (green).
$\bar{\lambda}=\frac{1}{\tau}$

$$
\overline{\lambda^{2}}-\bar{\lambda}^{2}=\frac{1}{\beta \tau^{2}}
$$

Mean square position fluctuations, $\left\langle\mathbf{u}^{2}\right\rangle$, and shorttime diffusion coefficients, $D_{s}$ (green).

$$
D_{s}=\frac{\left\langle u^{2}\right\rangle}{\tau}
$$

## "Universal" asymptotic form of the QENS intermediate scattering function

G.R. Kneller, The Journal of Chemical Physics 145, 044103 (2016).

$$
F_{\mathrm{GA}}(q, t) \stackrel{t \rightarrow \infty}{\sim} \underbrace{e^{i \frac{\hbar q^{2}}{2 m} t}}_{\text {recoil }} \underbrace{e^{-q^{2} L(t) D_{\alpha} t^{\alpha}}}_{\text {cl. diffusion }} \underbrace{e^{i q^{2} L(t) D_{\alpha} \alpha \frac{\beta \hbar}{2} t^{\alpha-1}}}_{\text {quantum effects }}
$$

In the special case of confined diffusion this becomes

$$
F_{\mathrm{GA}}(q, t) \stackrel{t \rightarrow \infty}{\sim} e^{i \frac{\hbar q^{2} t}{2 m}} e^{-q^{2}\left\langle u^{2}\right\rangle L(t)}
$$

# New perspectives on QENS and energy landscapes seen by neutrons 

## A wave-mechanical model of incoherent quasielastic scattering in complex systems

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## Significance

Quasielastic incoherent neutron scattering (QENS) is a key tool for the exploration of complex systems, such as liquids, polymers, glasses, and biomolecules. A considerable number of neutron facilities exist and more are being planned. Understanding QENS is important, both for comprehending and applying the science and making efficient use of the facilities. We claim that the present explanation of QENS is incomplete. We propose a wave-mechanical model, consistent with neutron diffraction. It is based on the free-energy landscape and treats the neutrons as de Broglie wave packets. The model is supported by experiments and has predictive power. It provides significant insight into the dynamics of proteins and may lead to a better understanding of biological processes.

## QENS from a Mössbauer perspective

H. Frauenfelder at al., PNAS 111, 12764 (2014).

Spatial motion model Homogeneous QENS spectrum from a diffusion model based on Van Hove's theory


Energy landscape model Heterogeneous QENS
spectrum composed of many <Mössbauer lines »


During its flight through the sample, the neutron wave packet records the net energy transition of the system from the initial energy level $E$ to the final level $E+\Delta E$.


- The description is not quantitative
- The neutron is considered as a passive probe
- Momentum transfer is not considered


## Conventional interpretation of QENS

Plane wave neutron scattering


$$
\frac{d^{2} \sigma}{d \Omega d \omega}=\frac{k}{k_{0}} S(\mathbf{q}, \omega)
$$

momentum transfer:
$\mathbf{q}=\mathbf{k}_{0}-\mathbf{k}=\left(\mathbf{p}_{0}-\mathbf{p}\right) / \hbar$
energy transfer:
$\omega=\left(E_{0}-E\right) / \hbar$

Information content

$$
\begin{array}{ll}
\mathcal{S}(\mathbf{q}, \omega)=\frac{1}{2 \pi} \int_{-\infty}^{+\infty} d t e^{-i \omega t} \mathcal{F}(\mathbf{q}, t) & \text { Dynamic structure } \\
\mathcal{F}(\mathbf{q}, t)=\frac{1}{N} \sum_{\alpha \beta} \Gamma_{\alpha \beta}\left\langle e^{-i \mathbf{q} \cdot \hat{r}_{\alpha}(0)} e^{i \mathbf{q} \cdot \hat{\mathbf{r}}_{\beta}(t)}\right\rangle & \begin{array}{l}
\text { Intermediate } \\
\text { scattering function }
\end{array}
\end{array}
$$

Self-Scattering from hydrogen dominates
$\mathcal{F}(\mathbf{q}, t) \approx\left|b_{H, i n c}\right|^{2} F_{s}(\mathbf{q}, t) \quad F_{s}(\mathbf{q}, t)=\left\langle e^{-i \mathbf{q} \cdot \hat{\mathbf{R}}(0)} e^{i \mathbf{q} \cdot \hat{\mathbf{R}}(t)}\right\rangle$
Van-Hove theory in the classical limit

$$
\begin{aligned}
F_{s}(\mathbf{q}, t) & \approx\left\langle e^{-i \mathbf{q} \cdot[\mathbf{R}(0)-\mathbf{R}(t)]}\right\rangle_{c l} \quad \begin{array}{l}
\text { No impact of the } \\
\text { neutron on the sample! }
\end{array} \\
& =\frac{1}{(2 \pi)^{3}} \int d^{3} r e^{i \mathbf{q} \cdot \mathbf{r}} \underbrace{\langle\delta(\mathbf{r}-[\mathbf{R}(0)-\mathbf{R}(t)])\rangle_{c l}}_{G_{s}(\mathbf{r}, t)}
\end{aligned}
$$

Diffusion models for the Van Hove correlation function
( $\rightarrow$ "spatial motion models")

$$
\left.\partial_{t} G_{s}(\mathbf{r}, t)\right)=D \Delta G_{s}(\mathbf{r}, t)
$$

Spatial Fourier Transform


## Franck-Condon picture of neutron scattering

 From plane wave scattering to energy landscapesA somewhat forgotten paper....
G. Wick, The scattering of neutrons by systems containing light nuclei; Physical Review 94, 1228 (1954).

ISF in the Heisenberg picture


$$
F_{s}(\mathbf{q}, t)=\left\langle e^{-\frac{i t}{\hbar} \hat{H}^{\prime}(\mathbf{q})} e^{-\frac{i t}{\hbar} \hat{H}}\right\rangle
$$

## Eigenvalues and eigenfunctions for the Hamiltonians

$$
\begin{aligned}
\hat{H} \phi_{n}(\mathbf{p}) & =E_{n} \phi_{n}(\mathbf{p}) \\
\hat{H}^{\prime}(\mathbf{q}) \phi_{n}(\mathbf{p}+\hbar \mathbf{Q}) & =E_{n} \phi_{n}(\mathbf{p}+\hbar \mathbf{Q})
\end{aligned}
$$

Franck-Condon type transition probabilities in momentum space

$$
p_{m n}(\mathbf{q})=\left|\int d^{3 N} p \phi_{n}^{*}(\mathbf{p}+\hbar \mathbf{Q}) \phi_{m}(\mathbf{p})\right|^{2}
$$

Line spectrum for the dynamic structure factor

$$
\begin{aligned}
& F_{s}(\mathbf{q}, t)=\frac{1}{Z} \sum_{m, n} e^{-\beta E_{m}} e^{i t\left(E_{n}-E_{m}\right) / \hbar} p_{m n}(\mathbf{q}) \\
& S_{s}(\mathbf{q}, \omega)=\frac{1}{Z} \sum_{m, n} e^{-\beta E_{m}} p_{m n}(\mathbf{q}) \delta\left(\omega-\left[E_{n}-E_{m}\right] / \hbar\right)
\end{aligned}
$$

## Line spectrum for $S(q, \omega)$



## Detailed balance and recoil

It follows from the symmetry properties of the transition properties

$$
p_{m n}(\mathbf{q})=p_{n m}(-\mathbf{q})
$$

that the detailed balance relations are fulfilled

$$
\begin{aligned}
& F_{s}(\mathbf{q}, t)=F_{s}(-\mathbf{q},-t+i \beta \hbar) \\
& S_{s}(\mathbf{q}, \omega)=e^{\beta \hbar \omega} S_{s}(-\mathbf{q},-\omega)
\end{aligned}
$$

The scattering kinematics is reflected in the recoil moment

$$
\int_{-\infty}^{+\infty} d \omega \omega S_{s}(\mathbf{q}, \omega)=\frac{\hbar q^{2}}{2 m}
$$

## An analytical example - harmonic oscillator

Wave functions for the $0 \rightarrow 3^{\prime}$ transition


$$
E=\frac{\mathbf{T}}{\frac{p^{2}}{2 m}+\frac{1}{2} m \Omega^{2} x^{2}}
$$

$$
p_{m n}(q)=e^{-\frac{y^{2}}{4}}(-1)^{m+n} L_{m}^{(n-m)}\left(\frac{y^{2}}{4}\right) L_{n}^{(m-n)}\left(\frac{y^{2}}{4}\right)
$$

Transition probabilities

$$
y(q)=\sqrt{\frac{2 \hbar}{M \Omega}} q
$$

Dimensionless momentum transfer

Some transition probabilities as a function of momentum transfer





## Intermediate scattering function

$$
F_{s}(q, t)=\frac{1}{Z} \sum_{m, n} e^{-\beta \hbar \Omega(m+1 / 2)} e^{i(n-m) \Omega t} p_{m n}(q)
$$

Textbook result (c.f. Lovesey)

$$
F_{s}(q, t)=e^{i \frac{\gamma(q)^{2}}{4}\left(\sin (\Omega t)+i(1-\cos (\Omega t)) \operatorname{coth}\left(\frac{\beta \Omega \hbar}{2}\right)\right)}
$$

Dynamic structure factor

$$
S_{s}(q, \omega)=\frac{1}{Z} \sum_{m, n} e^{-\beta \hbar \Omega(m+1 / 2)} \delta(\omega-[n-m] \Omega) p_{m n}(q)
$$

## Complex systems

The energy levels of complex systems are quasi-continuously distributed.
$\hat{H}|\phi(X)\rangle=E(X)|\phi(X)\rangle$
$X$ is a set of variables describing the state of the system

$$
\left\langle\phi\left(X^{\prime}\right) \mid \phi(X)\right\rangle= \begin{cases}1 & \text { if } X=X^{\prime} \\ 0 & \text { otherwise }\end{cases}
$$

Continuous counting $m \rightarrow d m=\rho(X) d^{f} X$ $\rho(X)$ is the density of states of the system.

The scattering functions are determined by the transition probabilities and the density of states, $\rho(X)$.

The dynamic structure factor is a continuous function in $\omega$

$$
\begin{aligned}
& S_{s}(\mathbf{q}, \omega)=\iint d^{f} X d^{f} X^{\prime} \rho(X) p_{\mathrm{eq}}(X) \\
& \quad \rho\left(X^{\prime}\right) p\left(X^{\prime} \mid X, \mathbf{q}\right) \delta\left(\omega-\left[E\left(X^{\prime}\right)-E(X)\right] / \hbar\right)
\end{aligned}
$$

## Conclusions

- Energy landscapes are an intuitive concept to understand protein structural dynamics, but they are NOT directly probed by neutron scattering.
- Diffusion-based EL models tacitly the classical limit, where recoil effects are absent and the neutron acts an "observer".
- What is really measured in neutron scattering experiments is the reaction of the target to the impact of the neutron. The scattering of neutrons induces transitions on the energy landscape and the corresponding momentum transferdependent transition probabilities determine the measured intensities.


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